

THE UNIVERSITY OF IOWA

030:307

Spring 2003^[RBH1]

176 Schaeffer Hall

2:30-5:20 Wednesday

Office hours: 1:30-2:20 T/W/R

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QUALITATIVE RESEARCH METHODS IN THE POLITICAL AND SOCIAL SCIENCES

COURSE DESCRIPTION: This course is designed to give graduate students the intellectual and practical tools needed to study interesting problems in the social sciences that may not be reducible by quantitative methods of social inquiry. Topics to be treated Include:

1. Positivism and Paradigms:
2. The Rationality Assumption and the Qualitative/Quantitative Divide:
3. Explanation and Understanding: Problems of *Verstehen* & Subjectivity
4. Concept Formation:
5. Mechanisms and Theory Building:
6. Comparative Method and Case Selection:
7. Historiography: Avoiding the “Abuse of History” in Socio-historical Research
8. Dialectics:
9. Ethnography:
10. Genealogy:
11. Content and Discourse Analysis:
12. Qualitative Interviewing and Field Research

REQUIREMENTS: Every student is expected to have performed a **critical reading of assigned materials before the beginning of each seminar**. I find that there are serious limits to what I can teach you if you will not read, and 40% of the course grade will be based on this participation and its quality. Another 20% of the course grade will be based on a presentation of a research design for a problem of interest to you to be presented in class (to be scheduled). The quality of the initial design and the quality and clarity of your presentation will both be graded. Your research design will be critiqued by the seminar subsequent to your presentation. You will be expected to apply these critiques to a redraft of your research design, which will comprise the final 40% of your grade, and is due at the end of the semester.

TEXTS: The following books will be used extensively in the course and are available for purchase at Iowa Book & Supply at 8 S. Clinton St. (337-4188). Nearly all readings that are not from assigned book may be downloaded from the University's "Journal Storage" facility or other full text database on-line without cost to University of Iowa students. Readings from all other texts have been placed on reserve in the main library.

Required Texts:

- Hayward R. Alker, Rediscoveries and Reformulations: Humanistic Methodologies for International Studies (Cambridge, UK: Cambridge University Press, 1996)
- R.G. Collingwood, The Idea of History (Revised edition with Introduction by Jan van der Dussen (Oxford: Oxford University Press, 1992).
- Robert M. Emerson, Rachel I. Fretz, and Linda L. Shaw, Writing Ethnographic Field Notes (Chicago: University of Chicago Press, 1995).
- Michel Foucault, Discipline and Punish: The Birth of the Prison (Alan Sheridan, transl.)(New York: Vintage Books, 1979)
- John R. Hall, Cultures of Inquiry: From Epistemology to Discourse in Sociohistorical Research (Cambridge, UK: Cambridge University Press, 1999)
- George Iggers, Historiography in the Twentieth Century (Hanover, NH & London: Wesleyan University Press, 1997)
- Thomas Kuhn, The Structure of Scientific Revolutions (Chicago: Chicago University Press, 1962)
- Iver B. Neumann, The Uses of the Other: "The East" in European Identity Formation (Minneapolis: University of Minnesota Press, 1999).
- Herbert J. Rubin and Irene S. Rubin, Qualitative Interviewing: The Art of Hearing Data (Thousand Oaks, CA: Sage, 1995).
- David Silverman, Interpreting Qualitative Data: Methods for Analyzing Talk, Text and Interaction (second edition)(London: Sage, 2001)

Recommended Texts:

- Theodor W. Adorno, Introduction to Sociology (Stanford, CA: Stanford University Press, 2000).
- Christopher B. Barrett and Jeffrey W. Carson, Overseas Research: A Practical Guide (Baltimore: John Hopkins University Press, 1997)
- Daphne Berdhal, Where the World Ended: Re-Unification and Identity in the German Borderland (Berkeley, CA: University of California Press, 1999)
- Michel Foucault, The Archaeology of Knowledge (New York: Pantheon Books, 1972)
- Donald P. Green and Ian Shapiro, Pathologies of Rational Choice Theory (New Haven & London: Yale University Press, 1994)
- G. King, R. Keohane and S. Verba, Designing Social Inquiry: Scientific Inference In Qualitative Research (Princeton: Princeton University Press, 1994)
- Dierdre N. McCloskey, The Rhetoric of Economics (second edition) (Madison: University of Wisconsin Press, 1998)

Seminar Readings

1. Positivism and Paradigms:

Carl Hempel, Philosophy of Natural Science (New York: Prentice Hall, 1966). Chs 5-6.

Imre Lakatos, "Falsification and the Methodology of Scientific Research Programs" in Imre Lakatos and Alan Musgrave (eds.) Criticism and the Growth of Knowledge (Cambridge, U.K.: Cambridge University Press, 1970): 91-196.

Thomas Kuhn, The Structure of Scientific Revolutions (Chicago: Chicago University Press, 1962). Chapters 1-13.

John A. Vasquez, "The Realist Paradigm and Degenerative versus Progressive Research Programs: An Appraisal of Neotraditional Research on Waltz's Balancing Proposition" American Political Science Review, 91 (4) (December 1997): 899-912.

Finn Collin, "On a Difference Between Natural Science and the Interpretive Sciences of Man" in E. Agazzi and J. Faye (eds.) The Problem of the Unity of Science (Singapore: World Scientific, 2000): 69-80.

John R. Hall, Cultures of Inquiry: From Epistemology to Discourse in Sociohistorical Research (Cambridge, UK: Cambridge University Press, 1999): 1-5.

Theodor W. Adorno, Introduction to Sociology (Stanford, CA: Stanford University Press, 2000). Lectures 2 & 3: 10-26.

2. Rationality Assumption and the Qualitative/Quantitative Divide:

Amartya K. Sen, "Rational Fools: A Critique of the Behavioral Foundations of Economic Theory" Philosophy and Public Affairs, 6 (4) (Summer 1977): 317-344.

Donald P. Green and Ian Shapiro, Pathologies of Rational Choice Theory (New Haven & London: Yale University Press, 1994) Preface and Chs. 1-4 & 8. 1-71, 179-204.

Symposium on "KKV" by D. Laitin, J. Caporaso, D. Collier, R. Rogowski, S. Tarrow, and G. King, R. Keohane and S. Verba. Forum on "The Qualitative-Quantitative Disputation" American Political Science Review 89 (2) (June 1995): 454-481.

Theodor W. Adorno, Introduction to Sociology (Stanford, CA: Stanford University Press, 2000). Lectures 8 & 9: 62-79.

Symposium on Analytic Narratives and "Rational Choice History" American Political Science Review 94 (3) (September 2000): 685-702.

David Silverman, Interpreting Qualitative Data: Methods for Analyzing Talk, Text and Interaction (second edition)(London: Sage, 2001) Chs. 1&2: 1-41.

3. Explanation and Understanding: Problems of *Verstehen* & Subjectivity

Georg Henrik von Wright, Explanation and Understanding (Ithaca: Cornell University Press, 1971): 1-33.

Charles Taylor, "Interpretation and the Sciences of Man" in P. Rabinow and W.M. Sullivan (eds.) Interpretive Social Science: A Second Look

Max Weber, "Science as a Vocation" From Max Weber: Essays in Sociology (New York: Oxford University Press, 1946): 129-56.

Max Weber, in Max Weber on the Methodology of the Social Sciences (E.A. Shils and H.A. Finch transl. & eds.) (Glencoe, IL: The Free Press, 1949):

1. "The Meaning of 'Ethical Neutrality' in Sociology and Economics" 1-47.
2. "'Objectivity' in Social Science and Social Policy" 49-112.

Thomas Burger, Max Weber's Theory of Concept Formation: History, Laws, and Ideal Types (Durham, N.C.: Duke University Press, 1987): 3-21, 95-153.

John R. Hall, Cultures of Inquiry: From Epistemology to Discourse in Sociohistorical Research (Cambridge, UK: Cambridge University Press, 1999): Chs. 1 & 2: 5-71.

Hayward R. Alker, "Rescuing 'Reason' from the 'Rationalists': Reading Vico, Marx, and Weber as Reflective Institutionalists" in Hayward R. Alker, Rediscoveries and Reformulations: Humanistic Methodologies for International Studies (Cambridge, UK: Cambridge University Press, 1996): 207-37.

4. Concept Formation:

John R. Hall, Cultures of Inquiry: From Epistemology to Discourse in Sociohistorical Research (Cambridge, UK: Cambridge University Press, 1999): Chs. 3&4: 72-136.

Thomas Burger, Max Weber's Theory of Concept Formation: History, Laws, and Ideal Types (Durham, N.C.: Duke University Press, 1987): 57-93.

Lisa Weeden, "Conceptualizing Culture: Possibilities for Political Science" American Political Science Review 96 (4) (December 2002): 713-28.

Michel Foucault, The Archaeology of Knowledge (New York: Pantheon Books, 1972): 3-70.

Zygmunt Bauman, "Is there a Postmodern Sociology?" Theory, Culture & Society Vol. 5 (1988): 217-37.

Alexander Wendt, "On Constitution and Causation in International Relations" Review of International Studies 24 (1998): 101-17.

Hayward R. Alker, "Aristotelian Political Methodologies" in Hayward R. Alker, Rediscoveries and Reformulations: Humanistic Methodologies for International Studies (Cambridge, UK: Cambridge University Press, 1996): 64-103.

5. Mechanisms and Theory Building:

John R. Hall, Cultures of Inquiry: From Epistemology to Discourse in Sociohistorical Research (Cambridge, UK: Cambridge University Press, 1999): Coda 4 & Chs. 5-7: 137-203.

Thomas Burger, Max Weber's Theory of Concept Formation: History, Laws, and Ideal Types (Durham, N.C.: Duke University Press, 1987): 154-79.

G. King, R. Keohane and S. Verba, Designing Social Inquiry: Scientific Inference In Qualitative Research (Princeton: Princeton University Press, 1994): 99-114.

Charles Tilly, "Mechanisms in Political Processes" (manuscript) 2000.

Peter Hedström and Richard Swedberg, "Social Mechanisms" Acta Sociologica 39, 1996: 281-308.

Albert S. Yee, "The Causal Effects of Ideas on Policies" International Organization 50 (1) (Winter 1996): 69-108.

Hayward R. Alker, "The Humanistic Moment in International Studies: Reflections on Machiavelli and Las Casas" in Hayward R. Alker, Rediscoveries and Reformulations: Humanistic Methodologies for International Studies (Cambridge, UK: Cambridge University Press, 1996): 147-83.

6. Comparative Method and Case Selection:

Giovanni Satori, "Concept Misformation in Comparative Politics" American Political Science Review 64 (4) (December 1970): 1033-53.

Arend Lijphart, "The Comparable-Cases Strategy in Comparative Research" Comparative Political Studies 8 (1975): 158-77.

Henry Teune, "Comparing Countries: Lessons Learned" in Else Oyen (ed.) Comparative Methodology (London: Sage, 1990): 38-62.

B. Geddes, "How the Cases You Choose Affect the Answers You Get: Selection Bias in Comparative Politics" Political Analysis, 2 (1990): 131-69.

Douglas Dion, "Evidence and Inference in the Comparative Case Study" Comparative Politics 30 (2) 1995: 127-45.

Stanley Lieberson, "Small N's and Big Conclusions: An Examination of the Reasoning in Comparative Studies Based on a Small Number of Cases" Social Forces, 70 (2) (December 1991): 307-20.

Theda Skocpol and Margaret Somers, "The Uses of Comparative History in Macrosocial Inquiry" Comparative Studies in Society and History 22 (2) (April 1980): 174-97.

7. Historiography: Avoiding the "Abuse of History" in Socio-historical Research

George Iggers, Historiography in the Twentieth Century (Hanover, NH & London: Wesleyan University Press, 1997). 1-147.

R.G. Collingwood, The Idea of History Part V. (Revised edition with Introduction by Jan van der Dussen (Oxford: Oxford University Press, 1992) "Epilegomena" pp. 205-334.

John R. Hall, Cultures of Inquiry: From Epistemology to Discourse in Sociohistorical Research (Cambridge, UK: Cambridge University Press, 1999). Ch. 8: 204-228.

Historiography and Social Science: Process Tracing and Historical Modeling:

Rodney Bruce Hall and Friedrich V. Kratochwil, "Medieval Tales: Neorealist 'Science' and the Abuse of History", International Organization, 47 (3) 1993: 479-91.

Ian S. Lustick, "History, Historiography, and Political Science: Multiple Historical Records and the Problem of Selection Bias" American Political Science Review 90 (3) (September 1996): 605-18.

Steven Bernstein, Richard Ned Lebow, Janice Gross Stein and Steven Weber, "God Gave Physics the Easy Problems: Adapting Social Science to an Unpredictable World" European Journal of International Relations 6 (1) (March 2000): 43-76.

Tim Büthe, "Taking Temporality Seriously: Modeling History and the Use of Narratives as Evidence" American Political Science Review 93 (3) (September 2002): 481-93.

Historiography and Ethnography:

Clifford Geertz, The Interpretation of Cultures (New York: Basic Books, 1973).

- Ch. 1. "Thick Descriptions: Toward and Interpretive Theory of Culture" 3-32

Hans Medick, "Missionaries in the Row Boat"? Ethnological Ways of Knowing as a Challenge to Social History" Comparative Studies in Society and History 29 (1) (January 1987): 76-98.

Historiography and Narrative:

Hayden White, Tropics of Discourse (Baltimore: Johns Hopkins University Press, 1978): 51-80.

Editorial, Godelier, and Achard. Symposium articles on Language and History. History Workshop 10 (Autumn 1980): 1-5, 164-83.

Lawrence Stone, "The Revival of Narrative: Reflections on a New Old History" Past and Present 85 (November 1979): 3-24.

John E. Toews, "Intellectual History after the Linguistic Turn: The Autonomy of Meaning and the Irreducibility of Experience" American Historical Review 92 (4) (October 1987): 879-907.

8. Dialectics:

Critical Theory and the Frankfurt School: Gnostic Hegelianism vs. Naive Positivism?

Max Horkheimer and Theodor W. Adorno, Dialectic of Enlightenment (New York: Continuum, 1944): 3-42.

Theodor W. Adorno, Hans Albert, Ralf Daherdorf, Jürgen Habermas, Harald Pilot, Karl R. Popper (G. Adey and D. Frisby transl.) The Positivist Dispute in German Sociology (New York: Harper & Row, 1976).

- Jürgen Habermas, "The Analytical Theory of Science and Dialectics" pp. 131-62.
- Hans Albert, "The Myth of Total Reason" pp. 163-97.
- Jürgen Habermas, "A Positivistically Bisected Rationalism" pp. 198-225.

Dialectics and Mechanisms:

Theodor W. Adorno, Introduction to Sociology (Stanford, CA: Stanford University Press, 2000). Lectures 4-7: 27-61.

Hayward Alker and Thomas Biersteker, "The Dialectics of World Order: Notes for a Future Archeologist of International *Savoir Faire*" International Studies Quarterly 28 (4) 1984: 121-42.

Hayward R. Alker, "The Dialectical Logic of Thucydides' Melian Dialogue" in Hayward R. Alker, Rediscoveries and Reformulations: Humanistic Methodologies for International Studies (Cambridge, UK: Cambridge University Press, 1996): 23-63.

Rodney Bruce Hall, National Collective Identity: Social Constructs and International Systems (New York: Columbia University Press, 1999): 3-50.

Rodney Bruce Hall, "Dialectics as Constitutive Process in Historical International Systems" (manuscript).

9. Ethnography:

Clifford Geertz, The Interpretation of Cultures (New York: Basic Books, 1973).

- Ch.2 The Impact of the Concept of Culture on the Concept of Man" 33-54.

Berdhal, Daphne, Where the World Ended: Re-Unification and Identity in the German Borderland (Berkeley, CA: University of California Press, 1999): 1-72, 104-139, 226-233.

Martha S. Feldman, Strategies for Interpreting Qualitative Data (Thousand Oaks: Sage, 1995): 1-20.

David Silverman, Interpreting Qualitative Data: Methods for Analyzing Talk, Text and Interaction (second edition) (London: Sage, 2001) Chs. 3 (entire), 5.3-5.4, 7.3.

Robert M. Emerson, Rachel I. Fretz, and Linda L. Shaw, Writing Ethnographic Field Notes (Chicago: University of Chicago Press, 1995).

10. Genealogy:

Michel Foucault, The Archaeology of Knowledge (New York: Pantheon Books, 1972): 135-77.

Michel Foucault, Discipline and Punish: The Birth of the Prison (Alan Sheridan, transl.)(New York: Vintage Books, 1979). Parts I – III: 3-228.

Iver B. Neumann, The Uses of the Other: "The East" in European Identity Formation (Minneapolis: University of Minnesota Press, 1999).

Rodney Bruce Hall, "Applying the 'Self/Other' Nexus in International Relations" International Studies Review 3 (1) (Spring 2001): 101-11.

11. Content and Discourse Analysis:

Content Analysis:

Harold D. Laswell, "Why be Quantitative?" in Harold D. Laswell and Nathan Leites (eds.) Language of Politics: Studies in Quantitative Semantics (New York: George W. Stewart Pubs., 1949): 40-54.

Sigfried Kracauer, "The Challenge of Quantitative Content Analysis" Public Opinion Quarterly 16 (4) (Winter 1952-3): 631-42.

Theodor W. Adorno, Introduction to Sociology (Stanford, CA: Stanford University Press, 2000). Lectures 10 & 11: 80-98.

Formalized Hermeneutics:

Hayward R. Alker, "Beneath Tit-for-Tat: The Contest of Political Economy Fairy Tales Within SPD Protocols" in Hayward R. Alker, Rediscoveries and Reformulations: (Cambridge, UK: Cambridge University Press, 1996): 303-31.

Dierdre N. McCloskey, The Rhetoric of Economics (second edition)(Madison: University of Wisconsin Press, 1998).

- Ch. 7. "The Unexamined Rhetoric of Economic Quantification" pp. 100-11.
- Ch. 8. "The Rhetoric of Significance Tests" pp. 112-38.
- Ch. 9. "The Poverty of Economic Modernism" pp. 139-55.

Discourse Analysis:

Ruth Wodak and Michael Meyer (eds.) Methods of Critical Discourse Analysis (London: Sage, 2001): 1-31.

Jennifer Milliken, "The Study of Discourse in International Relations: A Critique of Research and Methods" European Journal of International Relations 5 (2) 1999: 225-54.

Jonathan P.G. Bach, Between Sovereignty and Integration: German Foreign Policy and National Identity after 1989 (New York: St. Martin's Press, 1999): 9-63.

Iver B. Neumann, (2001) "From Meta to Method: The Materiality of Discourse" Paper presented at the 42nd Annual Convention of the International Studies Association: Chicago, IL, February 2001.

Rodney Bruce Hall "The Discursive Demolition of the Asian Development Model" International Studies Quarterly 47 (4) (March 2003): 71-99.

12. Qualitative Interviewing and Field Research: “Talking the Talk and Walking the Walk”

David Silverman, Interpreting Qualitative Data: Methods for Analyzing Talk, Text and Interaction (second edition)(London: Sage, 2001) Chs. 4 & 6: 84-118, 159-192.

Herbert J. Rubin and Irene S. Rubin, Qualitative Interviewing: The Art of Hearing Data (Thousand Oaks, CA: Sage, 1995). (selections)

Symposium on Interview Methods in Political Science, PS: Political Science & Politics 35 (4) (December 2002) 662-88.

Christopher B. Barrett and Jeffrey W. Carson, Overseas Research: A Practical Guide (Baltimore: John Hopkins University Press, 1997) (entire, for those who have to go see for themselves...).